Will 5 1 50th Francisco

PTO/SB/21 (05-03) (AW 07-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

94

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	<u> </u>	
Application Number	10/081,641	
Filing Date	February 22, 2002	
First Named Inventor	Patrick A. Haverkost (et al.)	
Art Unit	3731	
Examiner Name		
Attorney Docket No.	BSI-486US	

August 19, 2003

August 19, 2003

Date

					
ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to Group			
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Receipt Postcard RECEIVED			
Response to Missing Parts/ Incomplete Application Response to Missing Parts under	Remarks:	AUG 2 5 2003 TECHNOLOGY CENTER R3700			
37 CFR 1.52 or 1.53					
SIGNATURE	OF APPLICANT, ATTORNEY OR AGI	ENT			
Firm or Individual Paul Prestia	Registration No. (Attorney/Age	ent) 23,031			
Signature bull twill					
Date August 19, 2003					
CERTIFICATE OF TRANSMISSION / MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,

Paul E Prestia

VA 22313-1450 on this date:

Name (Print/Type)

Signature

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/081,641

Applicant:

Patrick A. Haverkost (et al.)

Filed:

February 22, 2002

Title:

METHOD AND APPARATUS FOR DEPLOYMENT

OF AN ENDOLUMINAL DEVICE

TC/A.U.:

3731

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

RECEIVED

AUG 2 5 2003

TECHNOLOGY CENTER R3700

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted

Paul F. Prestia, Reg. No. 23,031 Ellen E. Fielitz, Reg. No. 54,746

Attorneys for Applicants

EEF/bgd

Enclosures: PTO Form 1449

(5) References and International Search Report

Transmittal Form

Dated: August 19, 2003

✓ P.O. Box 980Valley Forge, PA 19482(610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 on:

BGDURHAM_I:\BSI\486US\S-IDS.DOC

TRADE

PTO/SB/08a (05-03) (AW 07/03)
Approved for use through 05/31/03, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

tute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	10/081,641	
Filing Date	February 22, 2002	
First Named Inventor	Patrick A. Haverkost (et al.)	
Art Unit	3731	
Examiner Name		
Attorney Docket No.	BSI-486US	

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,201,757	04/13/93	Heyn et al.	entire document
		US-5,989,280	11/23/99	Euteneuer et al.	entire document
		US-6,102,942	08/15/00	Ahari	entire document
		US-			
	T	US-			
		US-			RECEIVED
		US-			ILLOCIVED
		US-			AUG 2 5 2003
		US-			HOU 2 0 2003
		US-		Te	2 442
		US-		150	CHNOLOGY CENTER R3700
		US-			
		US-	,		
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ [¢]
		PCT WO 99/47075	09/23/99	Medicorp S.A.	entire document	
		PCT WO 99/49812	10/07/99	Scimed Life Syst.	entire document	
Examiner Signature	T			Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (05-03) (AW 07/03)
Approved for use through 05/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449B/PTO	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	10/081,641	
	Filing Date	February 22, 2002	
	First Named Inventor	Patrick A. Haverkost (et al.)	
	Art Unit	3731	
	Examiner Name		
SHEET 2 of 2	Attorney Docket No.	BSI-486US	

	-	NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		Notification of Transmittal w/ International Search Report for corresponding international application PCT/US03/04832, dated August 5, 2003	
		·	
		RECEIVED	
		AUG 2 5 2003	
		TECHNOLOGY CENTER R3700	
Examiner	T	Date	

Signature Considered

¹Applicant's unique citation designation number (optional).

Applicant's unique citation designation number (optionar).

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.